




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Huang et al.  
Serial Number: 09/856,812  
Confirmation No.: 3475  
Int'l Filing Date: November 26, 1999  
For: TUMOUR REJECTION ANTIGENS

Examiner: Not yet assigned  
Art Unit: Not yet assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **Mail Stop Sequence**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 23rd day of September 2003.

  
June Watson

**MAIL STOP SEQUENCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


Transmitted herewith are the following documents:

- ☒ Copy of Notification to Comply with Requirements for Sequence Listings
- ☒ Third Preliminary Amendment
- ☒ Substitute Sequence Listing (paper copy and on Computer Readable Diskette)
- ☒ Statement under 37 C.F.R. §1.821(f) and 1.825(d)
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
John R. Van Amsterdam, Reg. No. 40,212  
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Telephone: (617) 720-3500

Docket No. L0461.70115US00  
Date: September 23, 2003  
x09/30/03

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U.S. APPLICATION NUMBER NO.

09/856,812

FIRST NAMED APPLICANT

Lan-Qing Huang

ATTY. DOCKET NO.

L0461/7115

INTERNATIONAL APPLICATION NO.

PCT/IB99/02018

I.A. FILING DATE

11/26/1999

PRIORITY DATE

11/27/1998

CONFIRMATION NO. 3475

371 FORMALITIES LETTER



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23628

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Date Mailed: 07/30/2003

# NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - Per examiner in Tech Center 1600: Claims 9 and 10 are drawn to sequences that are not accompanied with sequence identification numbers. Replacement copies of CRF, paper copy of sequence listing, and statement that both are the same are needed.
  - APPLICANT MUST PROVIDE:
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.

09/856,812

INTERNATIONAL APPLICATION NO.

PCT/IB99/02018

ATTY. DOCKET NO.

L0461/7115

FORM PCT/DO/EO/922 (371 Formalities Notice)